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Linda M. Sivik (Signature)

**FROM: Linda M. Sivik  
Fax No. (513) 626-1355  
Phone No. (513) 626-4122**

Listed below are the item(s) being submitted with this Certificate of Transmission:

- 1) Information Disclosure Statement (2 pages)  
+ 1 copy;
- 2) PTO SB08 (1 page);
- 3) "Spontaneous emulsification of oil drops containing surfactants and medium-chain alcohols" - 17 pages

Number of Pages Including this Page: 23

In the application of

Robert Wayne Glenn, Jr.

Serial No. 09/764,561

Filed January 17, 2001

Case 8386

:

: Confirmation No. 6898

: Group Art Unit 1615

: Examiner Humera N. Sheikh

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P&amp;G Case 8386

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of :  
Robert Wayne Glenn, Jr. : Confirmation No. 6898  
Serial No. 09/764,561 : Group Art Unit 1615  
Filed January 17, 2001 : Examiner Humera N. Sheikh

For DELIVERY OF REACTIVE AGENTS VIA SELF-EMULSIFICATION FOR USE IN  
SHELF-STABLE PRODUCTS

SUPPLEMENTALINFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made on the attached Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

**[X] 37 C.F.R. §1.97(c) with statement –(use when filing IDS more than 3 months after filing of nonprovisional patent application and after receipt of first Office Action, but BEFORE receipt of Final Action, Notice of Allowance, or an action which otherwise closes prosecution, listing only information falling under either 37 C.F.R. §1.97(e)(1) or (2))**

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c). Applicants have not received a final action under §1.113, an action which otherwise closes prosecution, or a notice of allowance under §1.311 as of the date of this submission. A statement as specified in 37 C.F.R. §1.97(e) is submitted below.

**[X] Statement under 37 C.F.R. §1.97(e):**

**[X]** No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

Respectfully submitted,

By Linda M. Sivik  
Linda M. Sivik  
Agent for Applicant(s)  
Registration No. 44,982  
(513) 626-4122

Date: August 6, 2004

Customer No. 27752

P&amp;G Case 8386

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Robert Wayne Glenn, Jr. : Confirmation No. 6898  
Serial No. 09/764,561 : Group Art Unit 1615  
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Respectfully submitted,

By Linda M. Sivik  
Linda M. Sivik  
Agent for Applicant(s)  
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(513) 626-4122

Date: August 6, 2004

Customer No. 27752

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)  SHEET 1 of 1	<b>Substitute for form 1449A/PTO</b>		<b>COMPLETE IF KNOWN</b>	
	Application Number		09/764,561	
	Confirmation Number		6898	
	Filing Date		1/17/2001	
	First Named Inventor		Robert Wayne Glenn, Jr.	
	Group Art Unit		1615	
	Examiner Name		Humera N. Sheikh	
Attorney Docket Number		8386		

**U. S. PATENT DOCUMENTS**

EXAMINER INITIALS*	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS*	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENT Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	1	M.J. RANG, C.A. MILLER, "Spontaneous emulsification of oil drops containing surfactants and medium-chain alcohols", Progr Colloid Polym Sci (emulsions and microemulsions) 1998, 101-117, Vol. 109, Steinkopff Verlag.	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	

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Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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